

01-03-02

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION
Under 35 USC 111(a) and Rule 53(b)**

12/28/01

Commissioner of Patents
Washington, D.C. 20231

PATENT APPLICATION

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

jc832 U.S. PTO
10/034169
12/28/01

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Tadashi SUGIYAMA

Title DIGITAL-AUDIO-SIGNAL RECORDING APPARATUS

Atty. Dkt.: PW 0277031 | H7618US
M# | Client Ref

Date: December 28, 2001

including:

1. Specification: 26 pages (only spec. and claims) 2. Specification in non-English language

3. Declaration Original Facsimile/Copy Abstract 1 page(s); 2 numbered claims

4. Drawings: 10 sheet(s) informal; formal of size: A4 11"

5. See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# _____ SN _____)

6. **AMEND the specification** please by inserting before the first line: -- This is a Continuation-in-Part
 Divisional Continuation Substitute Application (MPEP 201.09) of:

6(a) National Appln. No. _____ filed _____ (M# _____)

6(b) International Appln. No. _____ filed _____

which designated the U.S., and that International Application was was not published under PCT Article 21(2) in English.--

7. **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/_____ , filed _____ . --

8. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. Prior application is assigned to _____

by Assignment recorded Reel Frame

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11 _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-401812	December 28, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/>	See 3 rd page for additional priorities

12. 1 (No.) Certified copy (copies): attached; previously filed (date) _____
 in U.S. Application No. / filed on _____

13. Small entity status → is not claimed; is claimed (Pre-filing confirmation required)

13(a). Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

13(b) See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. Attached:

17. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

	Large/Small Entity	Fee Code
18. Basic Filing Fee	\$740/\$370	101/201
19. Total Effective Claims 2 minus 20 = *0	x \$18/\$9 = + 0	103/203
20. Independent Claims 2 minus 3 = *0	x \$84/\$42 = + 0	102/202
	*If answer is zero or less, enter "0"	
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)	+ \$280/\$140	+ 0 104/204
22.	TOTAL FILING FEE ENCLOSED = \$740	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee	+ \$130	+ 0 139
24. If "assignment" box 8 is X'd, add recording fee	+ \$40	+ 40 581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.	+ \$130	+ 0 122
26.	TOTAL FEE ENCLOSED = \$780	

Our Deposit Account No. 03-3975

Our Order No. 051270 | 0277031

C# M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
027 7031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
Tadashi SUGIYAMA

Serial No.: Not Assigned

Filed: December 28, 2001

For: **DIGITAL-AUDIO-SIGNAL RECORDING APPARATUS**

Group No.: Not Assigned
Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 912 783 US
Date of Deposit: December 28, 2001



Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

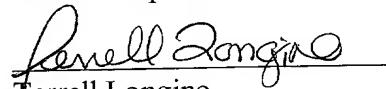
I hereby certify that

- Letter of transmittal
- Patent application (26 pages of specification; 2 claims; 1 pages of abstract)
- A check in the amount of \$740.00 to cover the filing fee
- A Declaration/Power of Attorney
- 10 sheets of informal drawings
- Return postcard
- An Assignment of the invention with cover sheet and \$40.00 recordation fee

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

December 28, 2001
Date of Deposit


Terrell Longino